

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Confirmation No. 6142

#14

Mitsuaki OSHIMA et al.

Docket No. 2000\_1388

Serial No. 09/677,421

Group Art Unit 2634

**RECEIVED** 

Filed October 5, 2000

Examiner A. Le

JAN 0 3 2003

**COMMUNICATION SYSTEM** 

**Technology Center 2600** 

THE COMMISSIONER IS AUTHORIZED

## INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents, Washington, DC 20231

TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Patentees request consideration of [X] the references listed on attached form PTO-1449 and/or [] the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No. .

1a. [] This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. [X] This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) [X] the certification of paragraph 2 below is provided, or

(2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. [] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
  - a. [X] that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
  - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
- 3. [] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
- 4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a. [] a full or partial English language translation submitted herewith,

- b. [] a foreign patent office search report (in the English language) submitted herewith,
- c. [] the concise explanation contained in the specification of the present application at page,
- d. [X] the concise explanation set forth in the attached English language abstract,
- e. [X] the concise explanation set forth below or on a separate sheet attached to the reference:

JPA 2-141049 corresponds to U.S. Patent No. 4,940,954.

5. [] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Mitsuaki OSHIMA et al.

Aeffrey R /Filipel

Registration No. 41,471
Attorney for Patentees

JRF/fs

Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 December 31, 2002

Sheet 1 of 1									
FORMORO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S)  (Use several sheets if necessary)				ATTY DOCKET NO. 2000_1388		SERIAL NO. 09/677,421			
				APPLICANT Mitsuaki OSHIMA et al.					
(Use several sheets if necessary)  Date Submitted to PTO: December 31, 2002				FILING DATE October 5, 2000 GROUP 2634					
				U.S. PATENT DOCUMENTS					
EXAMINER :		DOCUMENT NUMBER	DATE	NAME	CLAS	SS SUBCLASS	SUBCLASS FILING DATE IF APPROPRIATE		
W. 3	AA	4,940,954	7-10-90	Aubert et al.		17 - 17 - 17 - 17 - 17 - 17 - 17 - 17 -			
STENT & TRACE	АВ								
	AC								
	AD				-				
	AE					RECE	RECEIVED		
AF AG						JAN 0	JAN 0 3 2003		
				Technology Center 2600					
	АН								
	Al			<u> </u>				:	
				FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	DATE	COUNTRY	CLAS	SS SUBCLASS	SUBCLASS TRANSLATION YES NO		
	AJ	2-141049	5-30-90	Japan				x	
	AK	2-195732	8-2-90	Japan			abstract		
	AL	5-167633	7-2-93	Japan			abstract		
	AM				<u> </u>				
	AN			<u></u>	<u></u>				
		_ отн	ER DOCUMENT(S	S) (Including Author, Title, Date, Pe	rtinent Page	s, Etc.)			
1	AO								
	AP								
	ΑQ		· · · · · · · · · · · · · · · · · · ·						
EXAMINER		<u> </u>		DATE CONSID	ERED				
	===	<del></del>							

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.